

Local Assistance Helpful Handouts

Sample forms and documents

1. List of Top Ten Most Common Deficiencies List
2. CT Project Record Filing Category Index (organized by Cat. #)
3. CT Project Record Filing Category Index (by Cat. name)
4. Sample (Alternative) Project Record Filing Index
5. Sample Daily Report
6. Sample Daily Report (Force Account CCO work)
7. Exhibit 16-A, Sample Weekly Statement of Working Days
8. Sample CCO Force Account Cost Estimate to support agreed price
9. Sample Force Account Quick Estimate Guide
10. Sample Quantity Calculation Sheet (unit item)
11. Sample Quantity Calculation Sheet (lump sum)
12. Sample Payroll Certification
13. Exhibit 16-B, Subcontractor Request
14. Exhibit 12-B, Bidders List of Subcontractors
15. Exhibit 15-G, DBE Commitment
16. Exhibit 16-Z, Monthly DBE Trucking Verification
17. Exhibit 17-F, Final Report – Utilization of DBE
18. Sample Test Result Summary Table
19. Exhibit 16-T (Rev Dec 13) List of Materials Accepted by Certificate of Compliance (CTSS 2006)
20. Sample Certificate of Compliance and Buy America Certification
21. Exhibit 17-G, Sample Materials Certificate

CONSTRUCTION CONTRACT ADMINISTRATION

TOP TEN LIST

1. Diaries, Diaries, Diaries

①

- A. Weather
- B. Labor and Equipment With Names, Classifications, Model No.'s
- C. Overall Operation (Contract Item, CCO's) – "What Did He Do?"
- D. Pertinent Discussions With Contractor
- E. Pertinent Field Conditions
- F. Materials Test Results
- G. Safety Issues (Traffic Control, Construction Safety, etc...)
- H. Inefficiencies, Down Time
- I. Quantities???

2. Have a Well Organized File/Recordkeeping System

- A. "Watered-Down" Caltrans Uniform File Management System
- B. Or Equivalent

②

3. Materials Testing Consistent With Agency's QAP (and/or Special Provisions)

- A. Have a Copy in the Construction File
- B. If More Than 5 years Old, Consider Updating
- C. Address/Adjust Testing Frequency Table
- D. Be Sure Lab and Tester's Certifications are Current and In File
- E. Get Those Certificates of Compliance
- F. Be Aware of "Buy America" Compliance (Iron and Steel)

4. Administer CONTRACT TIME As Well As Dollars

- A. Utilize Weekly Statement of Working Days
- B. Address Time in CCO's As Project Progresses

③

5. Write Contract Change Orders

- A. Proposed Unforeseen Work Not In Contract
- B. Methods of Payment and Appropriate Backup Documentation for Each
- C. Specification Changes
- D. Include Contract Time Adjustments (Utilize Time Impact Analysis)
- E. Be Consistent With Section 4 in Standard Specifications
- F. Prior Authorization Process

6. Provide Independent Backup Information to Support Costs Paid

- A. Quantity Calculation Sheets – Each Contract Item Each Progress Pay Estimate
- B. Independent Estimate For Proposed Contract Change Order Amounts

④

7. EEO/Labor Compliance

- A. Payrolls – Certified by Contractor, Initialed by Local Agency
- B. Spot-Checked for Hours and Wage Rates Versus Diaries
- C. Required Federal Posters Posted in “Plain View” of Workers
- D. Employee Interviews – Try For One Per Trade Per Month

⑤

8. DBE (UDBE) Administration

- A. Know What UDBE Subcontractor Work/Dollar Value Contractor Has Committed To
- B. If DBE Trucking, Verify That Commercially Useful Function Being Performed Via Monthly DBE Trucking Verification Form and Diaries
- C. DBE Substitution Requests a Big Deal – Formal Submittal Required
- D. Monitor Final Utilization

⑦

⑥

9. Agency Procurement/Consultant Selection Process – COMPETITIVE PROCESS

- A. Prior “On Call” or “Master Services” Procurements OK If Competitive
- B. “More Informal” Process OK (if under \$100,000 Contract)
- C. Be Prepared To Provide Procurement Package and Screening Process

10. Cross The T's, Dot The I's, CLOSE THE LOOP

- A. All Submittals Formally Approved (Mix Designs, TCP, SWPPP's) by RE
- B. Initial Documents To Indicate Review and Acknowledgement
- C. Stay Within Project Scope and Limits – Work With District Local Assistance

California Department of Transportation

• Construction Manual • June 2013

Project Records Filing Index

5-102D Category Numbers and Headings

1	Project Personnel
2	Project Office Equipment and Supplies
3	Equipment and Personnel Cost Reports
4	Service Contracts
5	General Correspondence
6	Safety
7	Public Relations
8	Construction Surveys
9	Welding
10	(Extra category number)
11	Information Furnished at Start of Project
12	Contractor
13	Signs and Striping
14	Photograph Records
15	Accidents
16	Utility Agreements
17	Utility Work Performed
18	Agreements
19	Hazardous Waste and Hazardous Materials
20	Water Pollution Control Plan or Stormwater Pollution Prevention Plan
21	Construction Zone Enhanced Enforcement Program
22	Traffic Management Information
23	(Extra Category Number)
24	Disadvantaged Business Enterprises and Disabled Veteran Business Enterprises
25	Labor Compliance and Equal Employment Opportunity
26	Progress Schedule
27	Weekly Statement of Working Days
28	Weekly Newsletter

2(cont)

29	Materials Information and Preliminary Tests
30	Basement Soil Test Results
31	Notice of Materials to Be Used (CEM-3101)
32	Notice of Materials to be Inspected (TL-0028)
33	Notice of Materials to be Furnished (TL-0608)
34	Treated Base
35	Hot Mix Asphalt
36	Concrete (other than structure items)
37	Initial Tests and Acceptance Tests
38	Quality Control Quality Assurance
39	Materials Testing Qualifications of Employees
40	Field Laboratory Assistant Reports to Resident Engineer
41	Report of Inspection of Material
42	Material Plants
43	Concrete and Reinforcing Steel
44	Recycle Materials and Diversion of Solid Waste
45	Resident Engineer's Daily Reports
46	Assistant Resident Engineer's Daily Reports
47	Drainage Systems
48	Contract Item Quantity Documents
49	Change Orders
50	Adjustment in Compensation Calculations
51	Materials on Hand
52	Charges to Total Contract Allotment
53	Credit to Contract
54	Deductions from Payment to Contractor
55	Partnering
56-58	(Extra category numbers)
59	Bridge Estimate Data
60	Contract Administration System Inputs and Reports
61	Estimate and Project Status
62	Disputes
63	Project Completion Documents

5-102E Alphabetical Listing Of Categories**Category No.**

Accidents	15
Adjustment of Compensation Calculations	50
Agreements	18
Assistant Resident Engineer's Daily Reports	46
Basement Soil Test Results	30
Bridge Estimate Data	59
Change Orders	49
Charges to Total Contract Allotment	52
Concrete and Reinforcing Steel	43
Concrete (other than structure items)	36
Construction Surveys	8
Construction Zone Enhanced Enforcement Program	21
Contract Administration System Inputs and Reports	60
Contract Item Quantity Documents	48
Contractor	12
Credit to Contract	53
Daily Reports, Assistant Resident Engineer's	46
Daily Reports, Resident Engineer's	45
Deductions from Payment to Contractor	54
Disadvantaged Business Enterprises and Disabled Veterans Business Enterprises	24
Disputes	62
Drainage Systems	47
Estimate and Project Status	61
Equipment and Personnel Cost Reports	3
Extra Categories	10, 23, 56, 57, 58
Field Laboratory Assistant Reports to Resident Engineer	40
General Correspondence	5
Hazardous Waste and Hazardous Materials	19
Hot Mix Asphalt	35
Information Furnished at Start of Project	11
Initial Tests and Acceptance Tests	37
Labor Compliance and Equal Employment Opportunity	25
Materials on Hand	51
Material Plants	42
Materials Information and Preliminary Tests	29
Materials Testing Qualifications of Employees	39

3 (cont)

Notice of Materials to be Furnished (Form TL-0608)	33
	32
Notice of Materials to be Inspected (Form TL-0028)	
Notice of Materials to Be Used (Form CEM-3101)	31
Partnering	55
Photograph Records	14
Progress Schedule	26
Project Completion Documents	63
Project Office Equipment and Supplies	2
Project Personnel	1
Public Relations	7
Quality Control Quality Assurance	38
Recycle Materials and Diversion of Solid Waste	44
Report of Inspection of Material (TL-0029)	41
Resident Engineer's Daily Reports	45
Safety	6
Service Contracts	4
Signs and Striping	13
Traffic Management Information	22
Treated Base	34
Utility Agreements	16
Utility Work Performed	17
Water Pollution Control Plan or Stormwater Pollution Prevention Plan	20
Weekly Newsletter	28
Weekly Statement of Working Days (Form CEM-2701)	27
Welding	9

Sample Project Record Index

This is an example of a filing index system. Local Agencies may create their own in accordance with Section 16.8 of the LAMP and Section 5.9 of the QAP Manual. Chapter 16 and other chapters of the Local Assistance Procedures Manual explain the contents of the above listed file categories. Use of exact forms sited in Exhibits may be optional, consult LAMP.

The record-keeping system described in Caltrans Construction Manual, Section 3-01-1 is suggested for large complex projects.

1. Award Package:

- a. Local Agency Construction Contract Administration Checklist. (Exh. 15-a)
- b. Resident Engineer Construction Contract Administration Checklist. (Exh 15-b)
- c. Detailed Estimate (Exh. 15-M)
- d. Finance Letter (Exh. 15-N)
- e. Copy of Signed Contract

2. Project Personnel

- a. Project Personnel Sheet (names, titles)
- b. Emergency Contact Information Sheet

3. Correspondence

- a. To Contractor
- b. From Contractor
- c. Other

4. Weekly Record of Working Days (Exh. 16-A)

5. Engineer's Daily Reports

- a. Resident Engineer
- b. Assistant Resident Engineer (Inspectors)
- c. Structures Engineer

(IA) If Applicable

4(cont)

6. Control of Materials

- a. Copy of Quality Assurance Plan
- b. Independent Assurance
 - i. Certs. of Proficiency-Testers and Samplers (Exh. 16-D TL-0111)
 - ii. Cert. of Accreditation of Testing Lab (TL-0113)
- c. Notice of Material to be Used (Exh. 16-I)
- d. Acceptance Testing Results and Initial Tests: *(Make a Category 6d for each material...6d.1, Cl 2 base, 6d.2, AC etc..Include items below for each.)*
 - i. Test Result Summary Log
 - ii. Test Results (field/lab data records, not just summary of results)
- e. Certificates of Compliance (include Exh. 16-T)
- f. Source Inspection Records/ Report of Inspection of Material
- g. Buy America Certifications
- h. Material Certification (Exh. 17-G)

7. Contract Item Payment Quantity Calculation Documents (Make a category 7.x sub category for each contract item in the Engineers Estimate)

7.1 Contract Item 1

7.2 Contract Item 2

7.3 Contract Item 3 etc...

(one Q sheet should exist in the files for each contract item paid per estimate.)**8. Contract Change Orders:**

(Make a Category 8 sub category for each CCO.)

8.1 CCO 1

- a. Approved CCO 1
- b. CCO 1 Memorandum/Letter of Explanation and Justification
- c. Written Prior Approval to Proceed (IA)
- d. Local Agency Force Account Cost Estimate Calculations supporting any Agreed Prices in CCO. (must be performed independent of the Contractor)
- e. Justification of any time extensions (IA)
- f. Extra Work Reports (if force account pay method used in CCO)

8.2 CCO 2 etc...

(IA) If Applicable

4 (cont)

9. Progress Pay Estimates and Status of Funds

10. Labor Compliance and EEO

- a. Certified Payrolls
- b. Subcontracting Request (Exh. 16-B)
- c. Photo Documentation of all required posters:
www.fhwa.dot.ca.gov/programadmin/contracts/poster.cfm
- d. Labor Compliance Interviews (2 per month)
- e. Annual EEO Report-Due August 15th (Exh. 16-0)

11. DBE Records

- a. Local Agency Bidder DBE Information (Exh. 15-G)
- b. Good Faith Effort (IA)(Exh. 15-H)
- c. Monthly DBE Trucking Verification (IA) (Exh. 16-Z)
- d. Substitution Requests/Approvals and Supporting Documentation (IA)
- e. Final Report – Utilization of DBE s

12. Project Photos

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
Form HC-10A (Rev. 7/85)

05 - 0M9804
05- SCR-1-26.8/36.3
MBGR

Report No. _____
Date 7/12/13
M T W T (F) S S C M
Shift Hours Start 7:00 Stop 15:30

ASSISTANT RESIDENT ENGINEER'S DAILY CONSTRUCTION REPORT

Location & Description of Operation: DREAM BUILDER CONTRACTOR SET OUT SIGNS, CLOSED
HIGHWAY NB AT LOC. 1 FROM PM 26.70 TO AND 26.95 FOR ONE-WAY TRAFFIC AND PERFORMED
FLAGGING (CCO #1 - 4 LABORERS) BEGINNING AT AROUND 8:00 HRS. CENTRAL FENCE
SUBCONTRACTOR BEGAN LAYING OUT MGR FOR LOC. 1 (NB). CREW CONSTRUCTED 437.5 LF
OF GUARDRAIL COMPARED TO 350 LF, WHICH

WERE CALLED OUT FOR IN THE PLANS. THE
EXTRA 87.5 LF OF G.R. WAS A RESULT

EQUIPMENT AND/OR LABOR:

CENTRAL FENCE

DREAM BUILDER
LOCAL UNION 270

EQPT. NO.	NO. MEN	DESCRIPTION (Of Equipment or Labor)	HOURS - ITEM NO.						REMARKS (Reason for idleness or other remarks)
			#8 #10 - Traffic Control	#30 - MBGR	#35 - AFTS	CCO #1 - FLAGGING	IDLE OR DOWN	WEATHER	
302	1	F-250 P.U.	6	2				STEVE TIERENCEL	
300	1	PORT DRIVER	6	2				STEVE ROGERS	
261	1	FORD SUPER DUTY UTIL.	6	2				ROY LUIS	
230	1	INTER. SEMI	6	2				JAVIER PINOZZI	
281	1	INTER. 2-TON F.B.	6	2					
331	1	MINI EXC. BOBCAT	8						
	1	WATER BUFFALO	8						
RENTAL	1	ATTENUATOR TRUCK	1.5		7			S. PEREZ	
05	1	CONE TRUCK	1.5		7			L. MOREA	
	1	FLAGGER			7			J. JIMENEZ	
	1	FLAGGER			7			J. BILANUELA	

OF THE PRESENCE OF AN EXISTING SUB-DRAIN, WHICH HAS CREATED A CONFLICT W/ THE CONSTRUCTION
OF THE BURY-IN ON THE NORTH END OF THE G.R. RUN. PER C.F. FOREMAN STEVE TIERENCEL,
HE STATED R.E. KATIE BACH HAD MET HIM IN THE FIELD ON 7/10/13 AND DIRECTED TO LENGTHEN
TO GUARDRAIL UP TO THE SUB-DRAIN LOCATION AND BEGIN TO FLORE IT FROM THERE TO THE BURY-IN
ONE EACH AFTS WAS CONSTRUCTED ON THE SOUTH END OF THE GUARDRAIL. G.R. WORK
AT THIS LOCATION WAS INCOMPLETE. CREW WILL NEED TO TIGHTEN THE BOLTS, COMPLETE CONSTRUCTION
THE BURY-IN & ALIGN THE MGR IN THEIR NEXT WORK SHIFT. I MET W/ DREAM BUILDER
FOREMAN JIM LUIS THIS MORNING AND ASKED HIM THAT ALL PORTABLE SIGNS, INCLUDING THE STANDS,
NEED TO BE REMOVED AT THE END OF EACH WORK SHIFT, AND HE DID AGREE. I ALSO DISCUSSED W/
HIM OF THE PLAN TO RAISE THE EXISTING DITCHES IN THE G.R. FLORE AREAS WHERE THE GUARDRAIL

HEIGHT IS HIGH. T.C. WAS PICKED UP
BY AROUND 15:00 HRS.
Signature [Signature] Title TE (CT)

05 - DM7504
05-SCR-1-RO.0/10.2
Place HMA

Report No. _____
Date 4/17 TO 4/18/13
M T W T F S S C I R
Shift Hours Start 20:00 Stop 4:00

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
POST RC-10A4 (Rev. 7/85)

ASSISTANT RESIDENT ENGINEER'S DAILY REPORT

CCO # 32

Location & Description of Operation From 20:00 to 23:00 hrs NB I NO-2 lane was
shut down from Pojaro River Br. to RT 129 offramp, including RT 129 offramp. Contractor
excavated & graded for an overside drain on the RT side of RT 129 offramp just after MGR
loc. (2). A low spot in the overlay exists on the shield and the construction of an overside
drain is necessary to convey standing water

down to the adjacent embankment.
From 23:00 to 3:00 hrs at the following

EQUIPMENT AND/OR LABOR:

EQPT. NO.	NO. MEN	DESCRIPTION (Of Equipment or Labor)	HOURS - ITEM NO.				REMARKS (Reason for idleness or other remarks)	WEATHER
16335	1	F350 UTIL. TRUCK	2	6	2		Ray Espino	4MAN
15975	1	CONE TRUCK	2	6			D. ANDRADE	T.C./LBR
16375	1	ATTENUATOR TRUCK	2	6			R. MERCADO	T.C./LBR
17838	1	UTIL. TRUCK	2	6			R. ARRIGAS	T.C./LBR
16257	1	LIGHT PLANT	2	6			J. TAYLOR	OP
RENTAL	1	5-TON TRUCK (GRAS)	2	6			F. ROMOS	LBR
16199		TRAILER BACKHOE		4				
		2 CMS + 2 ARP BRD		8				

X
K

Low spot locations = 1. NB RT SHLD AT START OF STEVNE SLOUGH BR. DECK 2. NB MAR MONTE OFFRAMP NEXT TO DI 3. SB RT SHLD B/W STEVNE SLOUGH & WAT. SLOUGH BR. DECKS 4. SB BEFORE DI AT MGR LOC. #34 5. SB RT SHLD AT PM 10.0 BEFORE DI CONTRACTOR GROUND SHLD FIL IN THE PROXIMITY OF THESE LOW SPOTS TO REMOVE HUMPS IN THE OVERLAY. THE GRINDER DID APPEAR TO HELP FRONTING WATER TO FLOW TO THE ADJACENT INLETS. Ray & I AGREE TO SPLIT 50/50 TIME (PUNCH LIST & CCO #32) FOR THE GRINDING. TRAFFIC CONTROL WAS UTILIZED FOR THE ABOVE WORK. I GAVE RAY A COPY OF THE CAN COMPILER ASSEMBLY (STD PLAN H-7) FOR THE REPAIR OF THE DAMAGED IRRIG. VALVE ON NB 1 SHLD NEAR MGR LOC #5. I ADVISED HIM TO FOLLOW & INSTALL THE CAN COMPILER & PHIL BOX PER THE DETAIL.

LBR = \$72 x 38 HRS = \$2,736
TRUCKS = \$38 x 32 HRS = \$1,216
BACK HOE = \$55 x 4 HRS = \$220
LIGHT/CMS/ARP BRD = \$22 x 22 HRS = \$484
= \$4,656

RAY INFORMED ME OF THE OVERTIME CHARGE FOR OPENING THE AC PLANT AT NIGHT TIME. I TOLD HIM I ACCEPTED. PAVES WILL PLACE HMA FOR THE OVERTSIDE DRAINING TOMORROW NIGHT.

Signature Karl Mayo

Title TE (CT)

Form CEM-2701 (Old HC-146)
WEEKLY STATEMENT OF WORKING DAYS

FORM CEM 2701 (REV. 7-79) JOB STAMP

File Index No. 27
REPORT NO.

To _____ Contractor
The following statement shows the number of working days charged to your contract for the week ending _____ 19 _____

Date	Day	Weather, Weather Conditions, or Other Conditions (Note 1)	Working Day	Non-Working Days Caused by Weather	Working Days No Work Done on Controlling Operation (Note 2)
	Mon.				
	Tu.				
	Wed.				
	Thur.				
	Fri.				
Days this week					
Days previously reported					
Total days to date					

TIME EXTENSIONS	CCO Numbers (Note 3)	Days Approved	
		CCO	Other
Days This Report			
Days Previously Reported			
Total days to date			

COMPUTATION OF EXTENDED DATE FOR COMPLETION	Number of Days	Numbered Day (Note 5)	Date
1. Date Contract approved by Attorney General			
2. Working days specified in contract			
3. COMPUTED DATE FOR COMPLETION (if all days specified are workable)			
4. Total time extension days approved to date (CCO plus other)			
5. Total non-working days to date (Note 4)			
6. Sub Total (Line 4 Plus Line 5)			
7. EXTENDED DATE FOR COMPLETION (Line 3 Plus Line 6)			
8. Revised Working days for contract (Line 2 Plus Line 4)			
9) Total working days to date			
10) WORKING DAYS REMAINING (line 8 minus line 9)			

Controlling Operation(s)

REMARKS (Note 6) (Continues on reverse)

The Contractor will be allowed fifteen (15) days in which to protest in writing the correctness of the statement; otherwise the statement shall be deemed to have been accepted by the Contractor as correct. NOTE: All footnotes are on reverse side.

Resident Engineer

STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION

Distribution: (1) Original-Contractor (2) Copy-local agency RE Project File

Force Account-Quick Estimate Guide

Labor

Skill	Pay	
	Regular	Overtime
Superintendent	\$150	\$225
Foreman	\$100	\$150
Operator	\$70	\$105
Laborer	\$50	\$75
Mason	\$60	\$90
Electrician	\$65	\$98
Carpenter	\$70	\$105

Material

Material	Pay	
	Unit	Regular
1/2" HMA	Ton	\$85
3/4" HMA	Ton	\$80
1/2", 6 sack Concrete	Cyd	\$120
Class III Permeable AB	Ton	\$22
RSP	Ton	\$250
RSP Fabric	Sqft	\$2
Silur	Cyd	\$90
Cold Mix	Ton	\$6
SS1 Tack	Gal	\$6

Measurement & Payment

HRS Equipment in Operation	Hours to be Paid
0	4
0.5	4.25
1	4.5
1.5	4.75
2	5
2.5	5.25
3	5.5
3.5	5.75
4	6
4.5	6.25
5	6.5
5.5	6.75
6	7
6.5	7.25
7	7.5
7.5	7.75
8	8
Overtime Hours in Operation	

Equipment

Equipment			Pay		
Item	Type	Class	Unit	Regular	Overtime
Air Compressor	250-450 cfm/min	AIRCP	per hr	\$34.31	\$51.47
Asphalt Dike Paver	Avg Rate	ASDSP	per hr	\$94.41	\$85.91
Asphalt Paver	Avg Rate	ASPAV	per hr	\$137.00	\$117.82
Tack Pot	Trailer Mounted	BITDT	per hr	\$6.52	\$5.74
	Truck Mounted, Avg	BITDT	per hr	\$75.00	\$66.88
Hand Compactor	250lbs	COMHG	per hr	\$8.82	\$7.41
Generator	100-200kw	ELGEN	per hr	\$52.37	\$47.13
Electric Hand Tools	Highest Rate	ELTOL	per hr	\$0.44	\$0.18
Forklift	Avg Rate	FKLFT	per hr	\$73.00	\$59.13
Grader	Avg Rate	GRADR	per hr	\$81.00	\$72.09
Excavator	Crawler Mounted, Avg Rate	HCECL	per hr	\$112.00	\$94.08
Loader	Rubber Tire, Avg Rate	LDVRT	per hr	\$99.00	\$88.11
	Rubber Tire w/Backhoe, Avg Rate	LDVRT	per hr	\$46.00	\$40.94
Pavement Grinder	Penhall G-38	PGDJA	per hr	\$37.15	\$310.18
Roller	Tandem Steel Wheels, Avg Rate	ROLZ	per hr	\$36.00	\$324.00
	Vibratory, Avg Rate	ROWIB	per hr	\$61.00	\$51.85
Saws, Concrete	Highest Rate	SAWCP	per hr	\$19.83	\$17.25
ThermoStriper Truck	Avg Rate	STRIP	per hr	\$150.00	\$109.50
Brooms	Self Propelled	SFPR	per hr	\$40.37	\$35.12
Tractor	Crawler, Avg Rate	TRACC	per hr	\$98.00	\$84.28
	Rubber Tire w/Loader	TRACS(A)	per hr	\$25.95	\$22.82
Tractor	Rubber Tire w/Backhoe	TRACS(B)	per hr	\$27.08	\$24.37
	Avg Rate	TRAPA	per hr	\$12.00	\$8.40
TRAS	Trailer Mounted	TRAPA	per hr	\$32.00	\$27.24
D100 Cones	-	TRAPC	per day	\$20.45	\$20.45
6 FES	-	TRAPC	per day	\$2.43	\$2.43
Type III Barricade	-	TRAPC	per day	\$0.95	\$0.95
Attenuator Truck	-	TRAPC	per hr	\$52.36	\$52.36
Trailer	Low Bed, Avg Rate	TRAIL	per hr	\$27.00	\$17.01
	Tilt, Avg Rate	TRAIT	per hr	\$4.00	\$2.52
Truck	Under 12 KGW	TRUCK	per hr	\$25.04	\$21.78
	12-20 KGW	TRUCK	per hr	\$30.70	\$26.71
Water Truck	4,500-5,750 gal capacity	TRUCK	per hr	\$59.31	\$51.60
Truck w/Wheel No Driver	-	TRUON	per hr	\$66.43	\$56.47
Truck w/Wheel w/Driver	-	TRUON	per hr	\$110.00	\$96.00
Portable Toilet	-	-	per day	\$30.00	-
Steel Plate	-	-	per day	\$30.00	-
Equipment Transport	Equipment, Trailer, Laborer	-	per move	\$600.00	-
	Permit & Pilot Car	-	per move	\$800.00	-

wage rates and mark-ups were taken from a typical project and rounded for cost estimating purposes only. Worksheet should be updated periodically.

QUANTITY CALCULATIONS
CEM-4801 (REV. 11/1992) CT# 7541-3520-0

05 - 0M7504
05- SCR-1-R0.0/10.2
Place HMA

ITEM #	17 - TEMP RAILING (TYPE K)	FILE NO.	48-17-1
LOCATION	Hwy 1 - PM 0.0/10.2	SEGREGATION YES <input type="checkbox"/>	NO <input type="checkbox"/>
CALC. BY	K. NGO	DATE	4/15/12
CHK. BY	P. Dussell	DATE	4-19-12

ITEM #	TEMP RAILING (TYPE K)		(FIELD MEASURED)	
* Apr 15	PAVEX	Placed	80 LF AT OC (42)	Hwy 1 SB PM R 4.09
=	=	=	80 LF @ (35)	Hwy 1 SB PM R 2.68
=	=	=	80 LF @ (3)	Hwy 1 NB PM R 0.72
=	=	=	80 LF @ (4)	Hwy 1 NB PM R 1.01
=	=	=	80 LF @ (5)	Hwy 1 NB PM R 1.14
=	=	=	80 LF @ (6)	Hwy 1 NB PM R 1.55
=	=	=	80 LF @ (7)	Hwy 1 NB PM R 1.59
* Apr 16	=	=	480 LF @ (28)	Hwy 1 SB PM R 0.72
=	=	=	80 LF @ (27)	Hwy 1 SB PM R 0.04
=	=	=	80 LF @ (32)	Hwy 1 SB PM R 0.19
=	=	=	80 LF @ (33)	Hwy 1 SB PM R 1.37
=	=	=	80 LF @ (34)	Hwy 1 SB PM R 1.74
=	=	=	80 LF @ (13)	Hwy 1 NB PM R 4.19
Total = 1,140 LF				

\$ 18 x 1,440 = \$ 25,920 ✓

Planned Quantity	6,280 LF
CCO revisions	0
Authorized Quantity	6,280 LF
Item Complete	yes <input checked="" type="checkbox"/> no <input type="checkbox"/>
Total Paid to date	1,440 LF ✓
Previous Paid	0
sheet 48	-
Pay this sheet	1,440 LF ✓

POSTED BY AS DATE 4/20/12 POSTED TO pg 1/11/12

QUANTITY CALCULATIONS
CEM-4801 (REV 11/1992) CT# 7541-3520-0

05 - 0M7504
05- SCR-1-R0.0/10.2
Place HMA

ITEM # 16 - PORTABLE CMS	FILE NO 48
LOCATION Hwy 1 - PM 0.0/10.2	SEGREGATION YES <input type="checkbox"/> NO <input type="checkbox"/>
CALC. BY K. NGO	DATE 6/13/12
CHK. BY B. ROMAN	DATE June 13, 2012

ITEM # 16 - PORTABLE CMS :	
* This sheet will pay for the portable CMS used for traffic control during this pay estimate from May 21 and June 20, 2012.	
Days used in this pay estimate	22
Total contract days	120
L.S. = $\frac{22}{120}$	
= <u>0.18</u> S.	
$\$30K \times 0.18 = \underline{\underline{\$5,400}}$	

Planned Quantity	1.0 L.S.
CCO revisions	0
Authorized Quantity	1.0 L.S.
Item Complete	yes <input checked="" type="checkbox"/> no <input type="checkbox"/>
Total Paid to date	0.375 ✓
Previous Paid	0.195 ✓
sheet 48-16-2	
Pay this sheet	0.18 L.S. ✓

POSTED BY *[Signature]* DATE 6/15/12 POSTED TO *pag 3, line 8*

OPTIONAL WH FORM 347

MANDATORY LANGUAGE

12

HERE FRINGE BENEFITS ARE PAID IN CASH

- Each laborer or mechanic listed in the above referenced payroll has been paid, as indicated on the payroll, an amount not less than the sum of the applicable basic hourly wage rate plus the amount of the required fringe benefits as listed in the contract, except as noted in section 4(c) below.

(c) EXCEPTIONS

EXCEPTION (CRAFT)	EXPLANATION

REMARKS:

NAME AND TITLE	SIGNATURE

THE WILLFUL FALSIFICATION OF ANY OF THE ABOVE STATEMENTS MAY SUBJECT THE CONTRACTOR OR SUBCONTRACTOR TO CIVIL OR CRIMINAL PROSECUTION. SEE SECTION 1001 OF TITLE 18 AND SECTION 231 OF TITLE 31 OF THE UNITED STATES CODE.

Date _____
 _____ (Name of Signatory Party) (Title)
 do hereby state:

(1) That I pay or supervise the payment of the persons employed by _____ on the _____
 (Contractor or Subcontractor); that during the payroll period commencing on the _____
 (Building or Work) _____; and ending the _____ day of _____
 all persons employed on said project have been paid the full weekly wages earned, that no rebates have
 been or will be made either directly or indirectly to or on behalf of said _____ from the full
 (Contractor or Subcontractor)
 weekly wages earned by any person and that no deductions have been made either directly or indirectly
 from the full wages earned by any person, other than permissible deductions as defined in Regulations, Part
 3 (29 C.F.R. Subtitle A), issued by the Secretary of Labor under the Copeland Act, as amended (48 Stat. 948,
 63 Stat. 108, 72 Stat. 967; 76 Stat. 357; 40 U.S.C. § 3145), and described below.

(2) That any payrolls otherwise under this contract required to be submitted for the above period are correct and complete; that the wage rates for laborers or mechanics contained therein are not less than the applicable wage rates contained in any wage determination incorporated into the contract; that the classifications set forth therein for each laborer or mechanic conform with the work he performed.

(3) That any apprentices employed in the above period are duly registered in a bona fide apprenticeship program registered with a State apprenticeship agency recognized by the Bureau of Apprenticeship and Training, United States Department of Labor, or if no such recognized agency exists in a State, are registered with the Bureau of Apprenticeship and Training, United States Department of Labor.

(4) That: (a) WHERE FRINGE BENEFITS ARE PAID TO APPROVED PLANS, FUNDS, OR PROGRAMS
 - in addition to the basic hourly wage rates paid to each laborer or mechanic listed in the above referenced payroll, payments of fringe benefits as listed in the contract have been or will be made to appropriate programs for the benefit of such employees, except as noted in section 4(c) below.

13

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
SUBCONTRACTING REQUEST
DC-CEM-1201 (REV. 4/94) (OLD HC-45) CT# 7541-3514-7

CONTRACTOR NAME		COUNTY	REQUEST NUMBER
BUSINESS ADDRESS		CONTRACT NO.	
CITY/STATE	ZIP CODE	FEDERAL AID PROJECT NO. (From Special Provisions)	

SUBCONTRACTOR (Name, Business Address, Phone)	BID ITEM NUMBER(S)	% OF BID ITEM SUBBED	CHECK IF: (See Categories Below)			DESCRIBE WORK WHEN LESS THAN 100% OF WORK IS SUBBED	\$ AMOUNT BASED ON BID \$ AMOUNT
			(1)	(2)	(3)		
KRC 6084 Hurley CA Visalia CA	6	25		X	X	25% Lane Cbs	30,000
	7	100		X	X		4,000
	8	100		X	X		16,000
Soundwalls R-US Arroyo CA	70	100		X			10,000
Tree Hugger Inc Corder Grove CA	2	100					2,000

Categories: 1) Specialty 2) Listed Under Fair Practices Act 3) Certified DBE/MBE/WBE/DVBE

I Certify That:

- The Standard Provisions for labor set forth in the contract apply to the subcontracted work.
- If applicable, (Federal Aid Projects only) Section 14 (Federal Requirements) of the Special Provisions have been inserted in the subcontracts and shall be incorporated in any lower-tier subcontract. Written contracts have been executed for the above noted subcontracted work.

CONTRACTOR'S SIGNATURE <i>Honest Abe</i>	DATE 1/19/13
---	-----------------

NOTE: This section is to be completed by the Resident Engineer

1. Total of bid items.....	\$	_____
2. Specialty items (previously requested).....	\$	_____
3. Specialty items (this request).....	\$	_____
4. Total (lines 2+3).....	\$	_____
5. Contractor must perform with own forces (lines 1 minus 4) x	%	_____
6. Bid items previously subcontracted.....	\$	_____
7. Bid items subcontracted (this request).....	\$	_____
8. Total (lines 6+7).....	\$	_____
9. Balance of work Contractor to perform (lines 1 minus 8).....	\$	_____

APPROVED	
RESIDENT ENGINEER'S SIGNATURE	DATE

CEM-1201 (HC-46 REV. 4/94) COPY DISTRIBUTION: 1. Original - Contractor 2. Copy - local agency Resident Engineer 3. Copy - local agency Labor Compliance Officer 4. Contractor's Information Copy

CASE B

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
SUBCONTRACTING REQUEST
DC-CEM-1201 (REV. 4/94) (OLD HC-45) CT# 7541-3514-7

13 (cont)

		REQUEST NUMBER
CONTRACTOR NAME		COUNTY
BUSINESS ADDRESS		ROUTE
CITY/STATE		CONTRACT NO.
ZIP CODE		FEDERAL AID PROJECT NO. (From Special Provisions)

SUBCONTRACTOR (Name, Business Address, Phone)	BID ITEM NUMBER(S)	% OF BID ITEM SUBBED	CHECK IF: (See Categories Below)			DESCRIBE WORK WHEN LESS THAN 100% OF WORK IS SUBBED	\$ AMOUNT BASED ON BID \$ AMOUNT
			(1)	(2)	(3)		
KRC 6084 Hurley Visalia CA	6	25	X	X		Lane Clos 25%	30,000
	7	100	X	X			4,000
	8	100	X	X			161,000
BLACK TOP Paving Dryden, CA	26	100					74,000

Categories: 1) Specialty 2) Listed Under Fair Practices Act 3) Certified DBE/MBE/WBE/DVBE

I Certify That:

- The Standard Provisions for labor set forth in the contract apply to the subcontracted work.
- If applicable, (Federal Aid Projects only) Section 14 (Federal Requirements) of the Special Provisions have been inserted in the subcontracts and shall be incorporated in any lower-tier subcontract. Written contracts have been executed for the above noted subcontracted work.

CONTRACTOR'S SIGNATURE	DATE
------------------------	------

NOTE: This section is to be completed by the Resident Engineer

1. Total of bid items	\$ _____
2. Specialty items (previously requested).....	\$ _____
3. Specialty items (this request)	\$ _____
4. Total (lines 2+3).....	\$ _____
5. Contractor must perform with own forces (lines 1 minus 4) x _____ %	\$ _____
6. Bid items previously subcontracted	\$ _____
7. Bid items subcontracted (this request)	\$ _____
8. Total (lines 6+7).....	\$ _____
9. Balance of work Contractor to perform (lines 1 minus 8).....	\$ _____

APPROVED	
RESIDENT ENGINEER'S SIGNATURE	DATE

CEM-1201 (HC-46 REV. 4/94) COPY DISTRIBUTION: 1. Original - Contractor 2. Copy - local agency Resident Engineer
3. Copy - local agency Labor Compliance Officer 4. Contractor's Information Copy

14

EXHIBIT 12-B BIDDER'S LIST OF SUBCONTRACTORS (DBE AND NON-DBE)

PART I

The bidder shall list all subcontractors (both DBE and non-DBE) in accordance with Section 2-1.054 of the Standard Specifications and per Title 49, Section 26.11 of the Code of Federal Regulations. This listing is required in addition to listing DBE Subcontractors elsewhere in the proposal. Photocopy this form for additional firms.

Firm Name/ Address/ City, State, ZIP	Phone/ Fax	Annual Gross Receipts	Description of Portion of Work to be Performed	Local Agency Use Only (Certified DBE?)
Name: KRC Address: 6004 Humby Ave City/State/ZIP: Visalia CA	Phone: 559-732-0393 Fax: 559-732-0394	<input checked="" type="checkbox"/> < \$1 million <input type="checkbox"/> < \$5 million <input type="checkbox"/> < \$10 million <input type="checkbox"/> < \$15 million <input type="checkbox"/> > \$15 million	6. Lane closures (Aerial-250) 7. Channelizers 9. TEMP Rail (TYPE K)	<input type="checkbox"/> YES <input type="checkbox"/> NO If YES list DBE #: Age of Firm (Yrs.):
Name: AC Dike Address: 111 Main City/State/ZIP: Lincoln CA	Phone: 555-831 Fax: 555-1212 664-1313	<input checked="" type="checkbox"/> < \$1 million <input type="checkbox"/> < \$5 million <input type="checkbox"/> < \$10 million <input type="checkbox"/> < \$15 million <input type="checkbox"/> > \$15 million	26 Minor HMA	<input type="checkbox"/> YES <input type="checkbox"/> NO If YES list DBE #: Age of Firm (Yrs.):
Name: SANDWALS R-US Address: 001 Ocean View Dr City/State/ZIP: SWIFT CITY CA	Phone: 650-111-2222 Fax: 650-111-3333	<input type="checkbox"/> < \$1 million <input checked="" type="checkbox"/> < \$5 million <input type="checkbox"/> < \$10 million <input type="checkbox"/> < \$15 million <input type="checkbox"/> > \$15 million	Item 70 Sandwall	<input type="checkbox"/> YES <input type="checkbox"/> NO If YES list DBE #: Age of Firm (Yrs.):
Name: Address: City/State/ZIP:	Phone: Fax:	<input type="checkbox"/> < \$1 million <input type="checkbox"/> < \$5 million <input type="checkbox"/> < \$10 million <input type="checkbox"/> < \$15 million <input type="checkbox"/> > \$15 million		<input type="checkbox"/> YES <input type="checkbox"/> NO If YES list DBE #: Age of Firm (Yrs.):

EXHIBIT 15-G2 LOCAL AGENCY BIDDER DBE INFORMATION (CONSTRUCTION CONTRACTS)
(Inclusive of all DBEs including the UDBEs listed at bid proposal)

NOTE: PLEASE REFER TO INSTRUCTIONS ON THE REVERSE SIDE OF THIS FORM

LOCAL AGENCY: Amey Town USA LOCATION: _____

PROJECT DESCRIPTION: Roadway Rehab

TOTAL CONTRACT AMOUNT: \$ 1.25M

BID DATE: 4/18/12

BIDDER'S NAME: Big Yellow Trucks Inc

CONTRACT UDBE GOAL: 9%

CONTRACT ITEM NO.	ITEM OF WORK AND DESCRIPTION OR SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED (or contracted if the bidder is a DBE)	DBE CERT NO. AND EXPIRATION DATE	NAME OF EACH DBE (Must be certified on the date bids are opened - include DBE address and phone number)	DOLLAR AMOUNT DBE
6	LANE CLOSURES	447	KRC Safety 6004 N. Harley Ave Visalia CA 93281 559-732-0393 # 000447	30,000
7	Channelizers			4,000
9	TEMP RAILING (K)			16,000
26	Minor HMA	219	ALDIK LINCOLN CA 95648 916 652-0159 # 000219	74,000

For Local Agency to Complete:

Local Agency Contract Number: _____

Federal-aid Project Number: CML-5029(025)

Federal Share: 256,000

Contract Award Date: _____

Local Agency certifies that all DBE certification have been verified and information is complete and accurate.

John Miller [Signature] 1/21/13
Print Name Signature Date
Local Agency Representative

(Area Code) Telephone Number: 650 543-2189

Total Claimed DBE Participation

\$ 124,000

0.0% 9%

[Signature]
Signature of Bidder

4/18/13 952-1111
Date (Area Code) Tel. No.

Amy Buchanan, VP
Person to Contact (Please Type or Print)

For Caltrans Review:

Print Name _____ Signature _____ Date _____
Caltrans District Local Assistance Engineer

Local Agency Bidder DBE Information (Construction Contracts)
(Rev 6/26/09)

15

Distribution: (1) Copy - Fax or scan a copy to the Caltrans District Local Assistance Engineer (DLAE) within 15 days of contract execution. Failure to send a copy to the DLAE within 15 days of contract execution may result in de-obligation of funds for this project.
(2) Copy - Include in award package to Caltrans District Local Assistance
(3) Original - Local agency files

Sample

SECTION 00431-A - UDBE COMMITMENT
(Construction Contracts)

UDBE Commitment (Construction Contracts): EXHIBIT 15-G(1)

NOTE: PLEASE REFER TO INSTRUCTIONS ON THE REVERSE SIDE OF THIS FORM

AGENCY: Public Works LOCATION: Any Town, CA

PROJECT DESCRIPTION: Shoreway - Skyway Bike Improvements

TOTAL CONTRACT AMOUNT: \$ 105,376.⁵⁰

BID DATE: 4/18/12

BIDDER'S NAME: Interstate Grading & Paving Inc

CONTRACT UDBE GOAL: 4%

CONTRACT ITEM NO.	ITEM OF WORK AND DESCRIPTION OR SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED	Cert. No. of UDBE AND EXPIRATION DATE	NAME OF UDBEs (Must be certified on the date bids are opened - include UDBE address and phone number)	DOLLAR AMOUNT UDBE
<u>Various</u>	<u>Trucking (partial)</u>	<u>34549 5114</u>	<u>Team North 5 Thomas Mellon Cir #1070 SF Ca 94134</u>	<u>4,250.-</u>

too vague

For Local Agency to Complete:

Local Agency Contract Number: _____

Federal Aid Project Number: CM2-5029(028)

Federal Share: 256,000

Contract Award Date: _____

Local Agency certifies that all information is complete and accurate.

John Miller
Print Name Signature Date

Local Agency Representative

(Area Code) Telephone Number: _____

For Caltrans Review:

Print Name Signature Date

Caltrans District Local Assistance Engineer

Total Claimed Participation \$4,250.-

4 %

[Signature]
Signature of Bidder

4/18/12 952-7771
Date (Area Code) Tel. No.

Amy Botman U.P.
Person to Contact (Please Type or Print)

Local Agency Bidder - UDBE Commitment (Rev 3/09)

Distribution: (1) Copy - Fax or scan a copy to the Caltrans District Local Assistance Engineer (DLAE) within 15 days of award. Failure to send a copy to the DLAE within 15 days of award may result in de-obligation of funds for this project.

(2) Copy -- Include in award package to Caltrans District Local Assistance

(3) Original -- Local agency files

MONTHLY DBE TRUCKING VERIFICATION

16

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
MONTHLY DBE TRUCKING VERIFICATION
CP-CEM-2404(F) (NEW 12/99)

CONTRACT NO.

Truck Owner	DBE Cert. No.	Company Name and Address	MONTH			Date Paid	Lease Arrangement (if applicable) with Non-DBE with DBE
			Truck No.	California Hwy. Patrol CA No.	Commission Or Amount Paid*		
					\$		<input type="checkbox"/> Lease Agreement with Non-DBE <input type="checkbox"/> with DBE
					\$		<input type="checkbox"/> Lease Agreement with Non-DBE <input type="checkbox"/> with DBE
					\$		<input type="checkbox"/> Lease Agreement with Non-DBE <input type="checkbox"/> with DBE
					\$		<input type="checkbox"/> Lease Agreement with Non-DBE <input type="checkbox"/> with DBE
					\$		<input type="checkbox"/> Lease Agreement with Non-DBE <input type="checkbox"/> with DBE
					\$		<input type="checkbox"/> Lease Agreement with Non-DBE <input type="checkbox"/> with DBE
					\$		<input type="checkbox"/> Lease Agreement with Non-DBE <input type="checkbox"/> with DBE
					\$		<input type="checkbox"/> Lease Agreement with Non-DBE <input type="checkbox"/> with DBE
					\$		<input type="checkbox"/> Lease Agreement with Non-DBE <input type="checkbox"/> with DBE
TOTAL AMOUNT PAID						\$	

PRIME CONTRACTOR

BUSINESS ADDRESS

BUSINESS PHONE NO.

* Upon request all Lease Agreements shall be made available, in accordance with the Special Provisions.

I CERTIFY THAT THE ABOVE INFORMATION IS COMPLETE AND CORRECT

CONTRACTOR REPRESENTATIVE'S SIGNATURE

TITLE

DATE

CEM-2404(F) (NEW 12/99)

COPY DISTRIBUTION: ORIGINAL - RESIDENT ENGINEER

CASE 1

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
FINAL REPORT-UTILIZATION OF DISADVANTAGED BUSINESS ENTERPRISES (DBE), FIRST-TIER SUBCONTRACTORS

CEM-2402F (REV 02/2008)

ADA Notice
 For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814

CONTRACT NUMBER	COUNTY	ROUTE	POST MILES	FEDERAL AID PROJECT NO.	ADMINISTERING AGENCY	CONTRACT COMPLETION DATE	CONTRACT PAYMENTS		
							NON-DBE	DBE	DATE WORK COMPLETE
PRIME CONTRACTOR							ESTIMATED CONTRACT AMOUNT		
BUSINESS ADDRESS							\$		
ITEM NO.	DESCRIPTION OF WORK PERFORMED AND MATERIAL PROVIDED	COMPANY NAME AND BUSINESS ADDRESS	DBE CERT. NUMBER	NON-DBE	DBE	DATE OF FINAL PAYMENT			
6	Lane Closures (25%)	KRE Safety 6084 Hurdayne Visalia CA	447	\$	\$ 30,000	11/19/13			
7	Channelizers			\$	\$ 4,000				
9	TEMP K-Rail			\$	\$ 16,000	11/19/13			
26	MINOR HMA	ALDIKE 111 Main Lyndholm CA	219	\$	\$ 74,000				
ORIGINAL COMMITMENT \$				\$	\$				
TOTAL				\$	\$ 124,000 ⁰				

List all First-Tier Subcontractors, Disadvantaged Business Enterprises (DBEs) regardless of tier, whether or not the firms were originally listed for goal credit. If actual DBE utilization (or item of work) was different than that approved at time of award, provide comments on back of form. List actual amount paid to each entity.

I CERTIFY THAT THE ABOVE INFORMATION IS COMPLETE AND CORRECT

CONTRACTOR REPRESENTATIVE'S SIGNATURE _____ BUSINESS PHONE NUMBER _____ DATE _____

RESIDENT ENGINEER'S SIGNATURE _____ BUSINESS PHONE NUMBER _____ DATE _____

TO THE BEST OF MY INFORMATION AND BELIEF, THE ABOVE INFORMATION IS COMPLETE AND CORRECT

Copy Distribution-Caltrans contracts: Original - District Construction Copy- Business Enterprise Program Copy- Contractor Copy Resident Engineer

Copy Distribution-Local Agency contracts: Original - District Local Assistance Engineer (submitted with the Report of Expenditure) Copy- District Local Assistance Engineer Copy- Local Agency file

CASE 2

17 (cont)

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
FINAL REPORT-UTILIZATION OF DISADVANTAGED BUSINESS ENTERPRISES (DBE), FIRST-TIER SUBCONTRACTORS

ADA Notice
 For individuals with sensory disabilities, this document is available in alternate formats. Call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, MS-89, Sacramento, CA 95814

CEM-2402F (REV 02/2008)

CONTRACT NUMBER	COUNTY	ROUTE	POST MILES	FEDERAL AID PROJECT NO.	ADMINISTERING AGENCY	CONTRACT COMPLETION DATE	CONTRACT PAYMENTS			ESTIMATED CONTRACT AMOUNT
							NON-DBE	DBE	DATE WORK COMPLETE	
PRIME CONTRACTOR							DBE CERT. NUMBER	BUSINESS ADDRESS		
ITEM NO.	DESCRIPTION OF WORK PERFORMED AND MATERIAL PROVIDED	COMPANY NAME AND BUSINESS ADDRESS	DBE CERT. NUMBER	NON-DBE	DBE	DATE WORK COMPLETE	DATE OF FINAL PAYMENT			
6	Lane closures (25%)	KRE Safety 6084 Hurley Ave Visalia CA	447	\$	\$29,000	11/19/13	1/9/14			
7	Channelizers			\$	\$					
9	TEMP K-Rail			\$	\$16,000	11/19/13				
26	MINOR HMA	ALDIKE 111 Main LYNDEN CA	219	\$	\$74,000					
ORIGINAL COMMITMENT \$				\$	0	\$120,000	0			

DBE
 List all First-Tier Subcontractors, Disadvantaged Business Enterprises (DBEs), regardless of tier, whether or not the firms were originally listed for goal credit. If actual DBE utilization (or item of work) was different than that approved at time of award, provide comments on back of form. List actual amount paid to each entity.

I CERTIFY THAT THE ABOVE INFORMATION IS COMPLETE AND CORRECT

CONTRACTOR REPRESENTATIVE'S SIGNATURE _____ BUSINESS PHONE NUMBER _____ DATE _____

RESIDENT ENGINEER'S SIGNATURE _____ BUSINESS PHONE NUMBER _____ DATE _____

TO THE BEST OF MY INFORMATION AND BELIEF, THE ABOVE INFORMATION IS COMPLETE AND CORRECT

Copy Distribution-Caltrans contracts: Original - District Construction Copy- Business Enterprise Program Copy- Contractor Copy Resident Engineer

Copy Distribution-Local Agency contracts: Original - District Local Assistance Engineer (submitted with the Report of Expenditure) Copy- District Local Assistance Engineer Copy- Local Agency file

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
TEST RESULT SUMMARY
CEM-3701 (NEW 11/1992)

MINIMUM FREQUENCY

JOB STAMP

INDEX 37.
MATERIAL

DATE STAMPED	TEST NUMBER	SAMPLE LOCATION	TEST RESULTS	PRODUCTION QUANTITY REPRESENTED	REMARKS*

CONSTRUCTION MATERIALS ACCEPTED BY A CERTIFICATE OF COMPLIANCE
(based on 2006 Caltrans Standard Specifications)

Section	Material
94-1.05	Asphaltic Emulsion
51-1.12H(1&2)	Bearing Pads (Elastomeric)
90-2.01	Cement
90-4.03	Concrete Admixtures
83-2.02D(1)	Concrete Barrier, (for the Concrete)
66-2.02	Corrugated Aluminum Pipe
66-3.02	Corrugated Steel Pipe and Corrugated Steel Pipe Arches
61-1.02	Culvert and Drainage Pipe Joints
88-1.01	Engineering fabric
95-1.03	Epoxy
20-2.03	Erosion Control and Highway Planting: Soil Amendment
20-2.07	Erosion Control and Highway Planting: Fiber
20-2.08	Erosion Control and Highway Planting: Mulch
20-2.11	Erosion Control and Highway Planting: Stabilizing Emulsion
20-2.15B(1)	Erosion Control and Highway Planting: Plastic Pipe- Supply Line
20-2.15B(2)	Erosion Control and Highway Planting: Plastic Pipe- Irrigation Line
51-1.12F(2)	Joint Seals (Type A, AL and B)
24-1.02	Lime
93-1.02	Liquid Asphalt
82-1.02D	Markers: Post Marker and Object Marker Metal Target Plates
90-10.03	Minor Concrete
84-3.02	Paint (traffic stripe)
64-1.02	Plastic Pipe (culverts, drains, conduits)
65-1.02A(2)	Reinforced Concrete Pipe
52-1.04	Reinforcing Steel (bar, wire, welded wire fabric, epoxy coating)
52-1.02B	Reinforcement (epoxy-coating patching material)
86-2.08	Signal Lighting and Electrical Systems: Conductors
86-2.16	Signal Lighting and Electrical Systems: Steel Service Equipment Enclosures (coating)
86-4.01C	Signal Lighting and Electrical Systems: Conductors, Diode Signal Modules
86-4.07D(4)	Signal Lighting and Electrical Systems: Light Emitting Diode Ped Signal Modules
86-6.01A	Signal Lighting and Electrical Systems: Luminaire Lamp Ballast
86-6.05	Signal Lighting and Electrical Systems: Sign Lighting Lamp Ballast
86-6.065	Signal Lighting and Electrical Systems: Internally Illuminated Street Name Signs
49-5.01	Steel Piles
55-1.03	Structural Steel
57-1.02A	Structural Timber and Lumber
51-1.06A	Structural Composite Lumber (use in falsework)
67-1.02	Structural Metal Plate Pipe Arches and Pipe Arches
68-1.02J	Subsurface Drains: Perforated Steel Pipe
68-1.02J	Subsurface Drains: Aluminum under drain pipe and fittings
68-1.02K	Subsurface Drains: Polyvinyl Chloride Pipe (PVC) and Polyethylene Tubing
12-3.08	Temporary Railing (Type K)
58-1.03	Treated Timber, Lumber, and Piling
69-1.02A	Overside Drains: Steel Entrance Tapers, Down Drains, Reducers, Coupling Bands and Slip Joints
69-1.02F	Overside Drains: Aluminum Entrance Tapers, Arches, Down Drains, Reducers, Couplings, Slip Joints

SAMPLE - Good CERT of Compliance

SUBMITTED: A. B.
(HAN
REVIEWED: R.

20

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
FABRICATORS CERTIFICATE OF COMPLIANCE
MR-6046 (REV. 4/98)

Crazy Horse Viaduct 6/26/13
#44-0133

TO: CHIEF ENGINEER State of California Department of Transportation	CERTIFICATE NUMBER CHV-01B
Prundale Bridges	DATE: 03/18/2013

In conformance with Section 6-1.07 and 52-1.04 of the Standard Specifications, we certify that the reinforcing steel listed below complies with the specifications for Contract No. 05-161E4. Certified mill tests for this steel are available for review in our office and that the Division of New Technology, Materials and Research was notified in time to permit inspection prior to shipment.

JOB NUMBER 13114086					CONTRACTOR MCM CONSTRUCTION	
BAR SIZE	HEAT NO.	GRADE	MILL	QUANTITY OR APPROX. WEIGHT	PLACING MARK	STRUCTURE AND LOCATION
3 (10)	KG1190006786	60 / A706	NUCOR STEEL KINGMAN	210	CHV-01B CHV-01B	Crazy Horse Viaduct: Span 1 Girders & Span 2 Soffit Missing Bars
4 (13)	SE12100064801	60 / A706	NUCOR STEEL KINGMAN / SEATTLE	5,993		
5 (16)	SE1110041902	60 / A706	NUCOR STEEL KINGMAN / SEATTLE	1,491		
6 (19)	SE1210530201	60 / A706	NUCOR STEEL SEATTLE	26,469		
8 (25)	SE1210565201	60 / A706	NUCOR STEEL SEATTLE	4,445		✓

FURTHER CERTIFY THAT ALL THE MANUFACTURING AND PROCESSING OF STEEL OCCURRED IN THE U.S. IN ACCORDANCE WITH THE STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION STANDARD SPECIFICATIONS

TOTAL WEIGHT 38,603 LBS ✓	FABRICATOR Harris Salinas Rebar ✓ 70 LINEHAN ROAD, MOUNDHOUSE, NV	(775)246-8622 Fax (775)246-8624
-------------------------------------	--	------------------------------------

BY AUTHORIZED REPRESENTATIVE

Erica VanHove ✓

May be submitte on manufacturers letterhead.
they Do not have to use this form.

EXHIBIT 17-G MATERIALS CERTIFICATE

Materials Certificate

CITY/COUNTY LETTERHEAD
(Sample)

Date: _____
Federal-Aid Project No.: _____
Caltrans File Category 61: _____
Job Stamp _____

Subject: Materials Certification

This is to certify that:

The results of the tests on acceptance samples indicate that the materials incorporated in the construction work and the construction operations controlled by sampling and testing were in conformity with the approved plans and specifications.

Exceptions to the plans and specifications are explained on the back of this memorandum (or on attached sheet).

No exceptions to the plans and specifications were found.

Signature of local agency engineer in responsible charge of project and title

Distribution: (For all projects) 1) Local agency Project Files (original)
2) DLAE (1 copy in Report of Expenditures)
(For projects on the NHS) 3) FHWA (1 copy)